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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this INFORMATION DISCLOSURE STATEMENT and documents submitted therewith are being deposited with the United States Postal Service as first class mail, postage prepaid thereon, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Ariel Fletcher  
Ariel Fletcher

12/5/2001  
Date

Applicant: Sutcliffe, et al.

Serial No.: 09/965,561

Filed: September 25, 2001

For: METHOD FOR SIMULTANEOUS  
IDENTIFICATION OF DIFFERENTIALLY  
EXPRESSED mRNAs AND MEASUREMENT  
OF RELATIVE CONCENTRATIONS

)  
)  
) Group: Unassigned

)  
)  
) Examiner: Unassigned

)  
)  
) Our Ref.: TSRI 401.0D5  
)  
)  
)

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In recognition of its continuing duty to disclose pursuant to 37 CFR §1.56, Applicant hereby submits the present Information Disclosure Statement in compliance therewith.

Pursuant to 37 CFR §1.98(d), enclosed herewith is a copy of 1) the Information Disclosure Statement and 2) the accompanying PTO Form 1449 filed in the Application Serial Nos. 09/964,597 and 08/152,482. Application Serial No. 09/964,597 was filed on September 25, 2001, and is pending. Application Serial No. 08/152,482 was filed on November 12, 1993, and has issued as U.S. Patent No. 5,459,037. The present application is related by

division. Also, pursuant to 37 CFR §1.98(d), copies of the above references are not submitted herewith, as they are available in the related patent applications 09/964,597 and 08/152,482.

Applicants understand that the interpretation given to each reference may differ from one individual to another. The PTO is therefore encouraged to independently examine the disclosed references. While the references provided in this Information Disclosure Statement may be material pursuant to 37 CFR §1.56, it shall not be construed to be an admission that the cited information is, or is considered to be, material to patentability unless specifically designated as such.

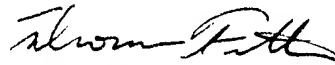
Applicants are filing the present statement pursuant to 37 CFR §1.97(b) insofar as this statement is being filed within three months of the filing of the application and/or before the mailing date of a first Office Action.

Also, in accordance with 37 CFR §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or, that if made, any search was complete or exhaustive, or that no other material information as defined in 37 CFR §1.56 exists.

Respectfully submitted,

December 5, 2001

Date



Thomas Fitting, Reg. No. 34,163

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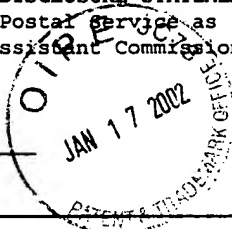
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

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Ariel Fletcher  
Ariel Fletcher



11/29/2001  
Date

Applicant:	Sutcliffe, et al.	)	
		)	
Serial No.:	09/964,597	)	Group: Unassigned
		)	
Filed:	September 25, 2001	)	Examiner: Unassigned
		)	
For:	METHOD FOR SIMULTANEOUS	)	
	IDENTIFICATION OF	)	Our Ref.: TSRI 401.0D4
	DIFFERENTIALLY EXPRESSED mRNAs	)	
	AND MEASUREMENT OF RELATIVE	)	
	CONCENTRATIONS	)	

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In recognition of its continuing duty to disclose pursuant to 37 CFR §1.56, Applicant hereby submits the present Information Disclosure Statement in compliance therewith.

Pursuant to 37 CFR §1.98(d), enclosed herewith is a copy of 1) the Information Disclosure Statement and 2) the accompanying PTO Form 1449 filed in the Application Serial No. 08/152,482. Application Serial No. 08/152,482 was filed on November 12, 1993, and has issued as U.S. Patent No. 5,459,037, to which the present application is related by division. Also, pursuant to 37 CFR §1.98(d), copies of the above references are not submitted

herewith, as they are available in the related patent application 08/152,482.

Applicants understand that the interpretation given to each reference may differ from one individual to another. The PTO is therefore encouraged to independently examine the disclosed references. While the references provided in this Information Disclosure Statement may be material pursuant to 37 CFR §1.56, it shall not be construed to be an admission that the cited information is, or is considered to be, material to patentability unless specifically designated as such.

Applicants are filing the present statement pursuant to 37 CFR §1.97(b) insofar as this statement is being filed within three months of the filing of the application and/or before the mailing date of a first Office Action.

Also, in accordance with 37 CFR §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or, that if made, any search was complete or exhaustive, or that no other material information as defined in 37 CFR §1.56 exists.

Respectfully submitted,

11/29/01

Date



Thomas Fitting, Reg. No. 34,163

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DOCKET NO.  
401.0 D4

SERIAL NO.  
09/964,597

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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

APPLICANT  
M.G. Erlander et al.

FILING DATE  
September 25, 2001

GROUP  
Unassigned

U.S. PATENT DOCUMENTS

EXAM. INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
	9318176	9/16/93	PCT			
	9222651	12/23/92	PCT			
	9101384	2/7/91	PCT			

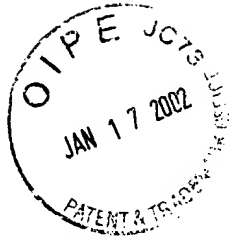
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

1	Baur, et al., <i>Nucleic Acids Research</i> , 21(18), 4272-4280 (1993)
2	Fahy, et al., <i>PCR Methods and Applications</i> , 1, 25-33 (1991)
3	Ko, <i>Nucleic Acids Research</i> , 18(19), 5705-5711 (1990)
4	Rubenstein, et al., <i>Nucleic Acids Research</i> , 18, 4833-4842 (1990)
5	Stoflet, et al., <i>Science</i> , 239, 491-494 (1988)
6	White, et al., <i>Trends in Genetics</i> , 5(6), 185-188 (1989)
7	Stratagene Product Catalogue (1993)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



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30457.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	)	Group Art Unit:
M.G. Erlander et al.	)	
	)	Examiner:
	)	
Serial No.: 08/152,482	)	Date Mailed:
	)	May 2, 1994
Filed: November 12, 1993	)	
	)	
For: METHOD FOR SIMULTANEOUS	)	Los Angeles, California
IDENTIFICATION OF	)	
DIFFERENTIALLY EXPRESSED	)	
mRNAs AND MEASUREMENT OF	)	
RELATIVE CONCENTRATIONS	)	

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of  
Patents and Trademarks  
Washington, DC 20231

Dear Sir:

This document is an Information Disclosure Statement to the above-cited patent application, enclosed herein.

Attached hereto is at least one Form PTO-1449 listing documents believed relevant to the subject application. The submission of the following information is not intended, nor should it be construed, to constitute an admission that any patent, article, or other information referred to herein is "prior art" unless specifically designated as such. In accordance with 37 C.F.R. § 1.97(b) the filing of this information shall not be construed to mean that a search has been made or that no other material information may exist. Neither should its submission be construed to indicate that a thorough search should not be conducted by the Examiner.

It is believed that this disclosure complies with the requirements of 37 C.F.R. § 1.56, § 1.97, and § 1.98 and the Manual of Patent Examining Procedures § 707.05(b). If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be telephoned so that any deficiencies can be remedied.

A copy of each document is enclosed. Some of the documents may have markings thereon. No significance is meant to be attached to the markings. These documents are not necessarily analogous art. Additionally, the order of the following documents is to be accorded no particular import as the order thereof is completely fortuitous.

It is respectfully requested that these documents be: (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) represented on any patent which may issue on the application. Applicants respectfully request that copies of the PTO-1449 forms, as considered and initialled by the Examiner, be returned with the next communication.

, Exhibit 1 is a publication, J.H. Nadeau et al., "Multilocus Markers for Mouse Genome Analysis: PCR Amplification Based on Single Primers of Arbitrary Nucleotide Sequence," Mamm. Genome 3: 55-64 (1992).

' Exhibit 2 is a publication, S.R. Woodward et al., "Random Sequence Oligonucleotide Primers Detect Polymorphic DNA Products Which Segregate in Inbred Strains of Mice," Mamm. Genome 3: 73-78 (1992).

‡ Exhibit 3 is a publication, J.O. Bishop, "The Gene Numbers Game," Cell 2: 81-86 (1974).

• Exhibit 4 is a publication, T. Ohta & M. Kimura, "Functional Organization of Genetic Material as a Product of Molecular Evolution," Nature 233: 118-119 (1971).

• Exhibit 5 is a publication, N.D. Hastie & J.O. Bishop, "The Expression of Three Abundance Classes of Messenger RNA in Mouse Tissues," Cell 9: 761-774 (1976).

• Exhibit 6 is a publication, J.A. Bantle & W.E. Hahn, "Complexity and Characterization of Polyadenylated RNA in the Mouse Brain," Cell 8: 139-150 (1976).

• Exhibit 7 is a publication, D.M. Chikaraishi, "Complexity of Cytoplasmic Polyadenylated and Nonpolyadenylated Rat Brain Ribonucleic Acids," Biochemistry 18: 3249-3256 (1979).

• Exhibit 8 is a publication, R.J. Milner & J.G. Sutcliffe, "Gene Expression in Rat Brain," Nucl. Acids Res. 11: 5497-5520 (1983).

• Exhibit 9 is a publication, J.G. Sutcliffe, "mRNA in the Mammalian Central Nervous System," Ann. Rev. Neurosci. 11: 157-198 (1988).

• Exhibit 10 is a publication, M.D. Adams et al., "Complementary DNA Sequencing: Expressed Sequence Tags and Human Genome Project," Science 252: 1651-1656 (1991).

• Exhibit 11 is a publication, M.D. Adams et al., "Sequence Identification of 2,375 Human Brain Genes," Nature 355: 632-634 (1992).

• Exhibit 12 is a publication, J.G.K. Williams et al., "DNA Polymorphisms Amplified by Arbitrary Primers Are Useful as Genetic Markers," Nucl. Acids Res. 18: 6531-6535 (1990).



• Exhibit 13 is a publication, J. Welsh & M. McClelland, "Fingerprinting Genomes Using PCR With Arbitrary Primers," Nucl. Acids Res. 18: 7213-7218 (1990).

• Exhibit 14 is a publication, J. Welsh et al., "Arbitrarily Primed PCR Fingerprinting of RNA," Nucl. Acids Res. 20: 4965-4970 (1992).

• Exhibit 15 is a publication, P. Liang & A.B. Pardee, "Differential Display of Eukaryotic Messenger RNA by Means of the Polymerase Chain Reaction," Science 257: 967-971 (1992).

• Exhibit 16 is a publication, M. Orita et al., "Detection of Polymorphisms of Human DNA by Gel Electrophoresis as Single-Strand Conformation Polymorphisms," Proc. Natl. Acad. Sci. USA 86: 2766-2770 (1989).

• Exhibit 17 is a publication, M. Orita et al., "Rapid and Sensitive Detection of Point Mutations and DNA Polymorphisms Using the Polymerase Chain Reaction," Genomics 5: 874-879 (1989).

• Exhibit 18 is a publication, S. Forss-Petter et al., "Neuron-Specific Enolase: Complete Structure of Rat mRNA, Multiple Transcriptional Start Sites, and Evidence Suggesting Post-Transcriptional Control," J. Neurosci. Res. 16: 141-156 (1986).

• Exhibit 19 is a publication, G.H. Travis & J.G. Sutcliffe, "Phenol Emulsion-Enhanced DNA-Driven Subtractive cDNA Cloning: Isolation of Low-Abundance Monkey Cortex-Specific mRNAs," Proc. Natl. Acad. Sci. USA 85: 1696-1700 (1988).

• Exhibit 20 is a publication, P. Liang et al., "Distribution and Cloning of Eukaryotic mRNAs by Means of

30457.1

Differential Display: Refinements and Optimization," Nucl. Acids Res. 21: 3269-3725 (1993).

Respectfully submitted,

Date: May 2, 1994

Michael B. Farber  
Michael B. Farber  
Registration Number 32,612

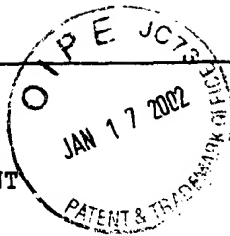
Merchant & Gould  
Westwood Gateway II  
11150 Santa Monica Boulevard, Suite 400  
Los Angeles, California 90025  
(310) 445-1140

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231

on MAY 2 1994  
(Date of Deposit)

Michael B. Farber  
Michael B. Farber 5-2-94

Form 1449\*



Atty. Docket No. 30457.1US01	Serial No. 08/152,482
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## INFORMATION DISCLOSURE STATEMENT

Applicant  
M.G. Erlander et al.BY APPLICANT  
(Use several sheets if necessary)

Filing Date November 12, 1993	Group
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## U.S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date (If Appropriate)

## FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes   No

## OTHER DOCUMENTS

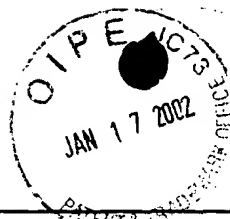
(Including Author, Title, Date, Pertinent Pages, Etc.)

**Examiner Initial	
	Nadeau, J.H., Bedigian, H.G., Bouchard, G., Denial, T., Kosowsky, M., Norberg, R., Pugh, S., Sargeant, E., Turner, R., and Paigen, B., (1992) Multilocus markers for mouse genome analysis: PCR amplification based on single primers of arbitrary nucleotide sequence. Mammalian Genome 3:55-64 (Exhibit 1)
	Woodward, S.R., Sudweeks, J., and Teuscher, C., (1992) Random sequence oligonucleotide primers detect polymorphic DNA products which segregate in inbred strains of mice. Mammalian Genome 3:73-78 (Exhibit 2)
	Bishop, J.O., (1974) The Gene Numbers Game. Cell 2:81-86 (Exhibit 3)
	Ohta, T. and Kimura, M., (1971) Functional Organization of Genetic Material as a Product of Molecular Evolution. Nature 233:118-119 (Exhibit 4)



Hastie, N.D., and Bishop, J.O., (1976) The Expression of Three Abundance Classes of Messenger RNA in Mouse Tissues. Cell 9:761-774 (Exhibit 5)
Bantle, J.A., and Hahn, W.E., (1976) Complexity and Characterization of Polyadenylated RNA in the Mouse Brain. Cell 8:139-150 (Exhibit 6)
Chikaraishi, D.M., (1979) Complexity of Cytoplasmic Polyadenylated and Nonpolyadenylated Rat Brain Ribonucleic Acids. Biochemistry 18(15):3249-3256 (Exhibit 7)
Milner, R.J., and Sutcliffe, J.G., (1983) Gene expression in rat brain. Nucleic Acids Research 11(16):5497-5520 (Exhibit 8)
Sutcliffe, J.G., (1988) mRNA in the Mammalian Central Nervous System. Ann. R. Neurosci. 11:157-98 (Exhibit 9)
Adams, M.D., Kelley, J.M., Gocayne, J.D., Dubnick, M., Polymeropoulos, M.H., Xiao, H., Merril, C.R., Wu, A., Olde, B., Moreno, R.F., Kerlavage, A.R., McCombie, W.R., and Venter, J.C., (1991) Complementary DNA Sequencing: Expression Sequence Tags and Human Genome Project. Science 252:1651-1656 (Exhibit 10)
Adams, M.D., Dubnick, M., Kerlavage, A.R., Moreno, R., Kelley, J.M., Utterback, T.R., Nagle, J.W., Fields, C., and Venter, J.C., (1992) Sequence identification of 2,375 human brain genes. Nature 355:632-634 (Exhibit 11)
Williams, J.G.K., Kubelik, A.R., Livak, K.J., Rafalski, J.A., and Tingey, S.V., (1990) DNA polymorphisms amplified by arbitrary primers are useful as genetic markers. Nucleic Acids Research 18(22):6531-6535 (Exhibit 12)
Welsh, J., and McClelland, M., (1990) Fingerprinting genomes using PCR with arbitrary primers. Nucleic Acids Research 18(24):7213-7218 (Exhibit 13)
Welsh, J., Chada, K., Dalal, S.S., Cheng, R., Ralph, D., and McClelland, M., (1992) Arbitrarily primed PCR fingerprinting of RNA. Nucleic Acids Research 20(19): 4965-4970 (Exhibit 14)
Liang, P., and Pardee, A.B., (1992) Differential Display of Eukaryotic Messenger RNA by Means of the Polymerase Chain Reaction. Science 257:967-971 (Exhibit 15)
Orita, M., Iwahana, H., Kanazawa, H., Hayashi, K., and Sekiya, T., (1989) Detection of polymorphisms of human DNA by gel electrophoresis as single-strand conformation polymorphisms. Proc. Natl. Acad. Sci. USA 86:2766-2770 (Exhibit 16)
Orita, M., Suzuki, Y., Sekiya, T., and Hayashi, K., (1989) Rapid and Sensitive Detection of Point Mutations and DNA Polymorphisms Using the Polymerase Chain Reaction. Genomics 5:874-879 (Exhibit 17)
Forss-Petter, S., Danielson, P., and Sutcliffe, J.G., (1986) Neuron-Specific Enolase: Complete Structure of Rat mRNA, Multiple Transcriptional Start Sites and Evidence Suggesting Post-Transcriptional Control. Journal of Neuroscience Research 16:141-156 (Exhibit 18)

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Sheet 3 of 3

Travis, G.H., and Sutcliffe, J.G., (1988) Phenol emulsion-enhanced DNA-driven subtractive cDNA cloning: Isolation of low-abundance monkey cortex-specific mRNAs. Proc. Natl. Acad. Sci. 85:1696-1700 (Exhibit 19)

Liang, P., Averboukh, L., and Pardee, A.B., (1993) Distribution and cloning of eukaryotic mRNAs by means of differential display: refinements and optimization. Nucleic Acids Research 21(14): 3269-3275 (Exhibit 20)

Examiner

Date Considered

\*Substitute Disclosure Statement Form (PTO-1449)

\*\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.